

SAURABH RIKAME

Senior Research Associate

9594877990 Saurabhrikame@gmail.com <https://www.linkedin.com/in/saurabhrikame/> Mumbai

SUMMARY

Senior Research Associate with 2+ years of experience in regulatory compliance, financial statements and risk assessment within the financial industry. Adept at conducting thorough research and reviews to ensure adherence to regulations, identifying potential risks and implementing improvements to enhance overall operational efficiency.

EXPERIENCE

Senior Research Associate

Mumbai

Morningstar India

01/2024 - Present

Equity Operations, Merger and Acquisitions

- Collect crucial data and create reports related to Earnings Per share, WASO, and corporate actions based on financial statements
- Research and review Income Statements, Balance Sheets and Cashflow statements
- Analysing market trends and tracking business performances
- Collect and analyze equity fundamentals data for global markets including US, UK, Europe and Asia Pacific regions
- Conduct reviews on client screenings, name searches and perform quality assurance tasks
- Assist with development and delivery of training modules for staff joining the immediate team
- Produce regular dashboard corrections and data for reporting on financial crime risks to be presented to Regional Boards
- Identify problem areas and implement automation controls to prevent errors that improve quality of service

Research Associate

Mumbai

Morningstar India

01/2022 - 12/2023

Private Equity, Venture Capital

- Tracked entry/exit financing rounds across Private Equity, Debt, and Venture Capital
- Navigating and interpreting diverse filing nomenclatures used in countries across North America, Europe, and APAC regions
- Study of raw data available in unstructured form and integrate in into valuable information that is used by various stakeholders
- Deep-dived into regulatory filings, investor portfolios, press releases
- Converted primary sources into structured data to be presented on Morningstar's Investor Platform

Associate

Mumbai

KPlus Infotech Private Limited

07/2019 - 09/2020

Accounts, Purchase and Sales

- Maintaining accounting records on a daily basis
- Processing accounts payable and receivable
- Inside Sales
- Managing Vendors

EDUCATION

Masters in Commerce

University of Mumbai

06/2019 - 06/2021

Bachelors of Commerce

VG Vaze Kelkar College of Commerce and Arts

03/2015 - 04/2018

INDUSTRY RELATED COURSES

Certified Investment Banking Operations Professional

03/2023 - 08/2023

Mumbai

Imarticus Learning

- Introduction to derivative products and fixed income securities
- Anti-Money laundering and Know-Your-Customer regulations
- End to end Trade life cycle of Listed and OTC products
- Functioning of Clearing and Settlement systems
- Stock Borrowing Lending and Reconciliation

ACHIEVEMENTS

Star of the Quarter Award

Top Performer throughout the team for the Quarter (April to June 2023)

Employee of the Month Award

Top Performer of the Month : October 2022, March 2023

SKILLS

Power BI, Microsoft Office, Data Analysis Tools, Microsoft Excel

LANGUAGES

Hindi	(Advance)	English	(Advance)	Marathi	(Advance)
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