

Shubhi Ashok

Mumbai, India 400102
+91 8707447745
Shubhiashok94@gmail.com

Professional Summary

Detail-orientated and Motivated Analyst with 4+ years of progressive experience in the Finance Industry. With expertise in Research and analysis, problem-solving skills and decision making abilities, dedicated to company growth and improvements. Passionate about learning new skills and looking forward to work with a company that can give mobility to the employees that proves their values by hard work and perseverance.

EXPERIENCE

January 2021 to Current

Accenture Solutions Pvt. Ltd.-Associate (AML-KYC Analyst)

- Perform transaction analysis, research and procedural work for engagements with defined expectations.
- Conduct reviews for Anti-Money Laundering (AML) and Know Your Customer (KYC) alerts, basic case investigations, Customer Due Diligence (CDD) and sanction screening.
- Use sound decision-making skills to make recommendations based on research results.
- Compose comprehensive narratives with supporting research notations.
- Review and analyze underlying data gathered opposite Anti-Money Laundering (AML), Terrorist Financing (TF), and other red flags to assess whether the alert should be recommended for escalation or to be closed with no further action.
- Handling real time screening alerts and aged volume
- Perform substantial research on Sanctioned individual/entities which get screened against different types of Watch lists (OFAC, EU etc)
- Identify comprehensive target set of compliance data for users across teams .
- Monitor and evaluate compliance data and information collected in support of activities and initiatives.
- Maintaining a strong investigative skill, the ability to work independently, demonstrated extensive banking and Compliance
- Establishing and maintaining quality standard..

SKILLS

- Leadership
- Agile and Scrum
- Attention to detail
- Computer Proficiency
- Quick Learner

AWARDS

- **Lean Six Sigma** (Green Belt) Certified.
- Volunteered for **Rio Zero Error Week**.
- Assisted Trainees as a Mentor.
- Nominated for conducting Agile Training among team members.
- Actively participated in Sports and other Cultural Activity.
- Rewarded for Less error count and picking up the process easily during my probation period.

May 2019 to August 2020

Tech Mahindra Business Services Ltd.- Advisor

- Provide financial planning support to clients.
- Respond to prospective customer queries.
- Researching and recommending the most appropriate products and services available.
- Manage and regularly update financial document summaries.
- Providing financial solutions to current and potential customers.
- Locate customers using credit bureau information, background checks, loan documents, and other paperwork or databases.

October 2016 to February 2019

Tata Consultancy Services Ltd.-Process Associate

- Analyze and review client documentation for accuracy based on internal KYC procedures.
- Escalate risks and issues to appropriate management to ensure onboarding is completed correctly and efficiently as per KYC procedure.
- Reviewed and analysis on Moderate/High Risk accounts.
- Conducting due diligence on CDD, SDD and EDD.
- Ensuring Quality of the information updated and administrating TAT and SLA.
- Perform entity and individual screenings for negative news and PEP checks as well as internal “do not do business lists”
- Performing end to end KYC based on research, analysis, and documentation.
- Maintaining consolidated reports of the work done on a daily basis and reporting it to the manager.
- Complied with established internal controls and policies.

EDUCATION

Post Graduation: Prin. L. N.Welingkar Institute of Management 2018-2020| Post Graduate Diploma in Management-Finance | A Grade (70%)

Graduation:Sreemati Nathibai Damodar Thackersey (SNDT) University 2013-2016 | Bachelor of Management Studies (Finance) | B Grade (58%)

Higher Secondary Certificate: Bhavan's Mehta Vidyashram |CBSE| 2012-2013 | A Grade (68%)

Secondary School Certificate (High School) -Children's Senior Secondary School |CBSE| 2010-2011 | A Grade (78%)

•Rewarded for Being the leading hand in Automation of the process.

•Nominated from TCS Mumbai Team for Lean Six Sigma event.

•Awarded as **2nd Runner Up** in **HERCULEAN**.

LANGUAGES

Hindi and English

